

IMPORTANT: FAX NOT LATER THAN 5:00 PM OF T+2

Form No. 003

FAX TO: SCCP: 848-6616/848-6626
 PDTC: 884-5097/884-5098
 BUYING BROKER/S:

DATE: _____

_____	_____
_____	_____
_____	_____
_____	_____

“B” FOR “A”: DELIVERY ADVICE

Please be advised that we, the Selling Broker for the following trade, will be delivering full/partial (*pls. check the appropriate box below*) quantity of “B” shares for a posted “A” Sell transaction. This is allowable under a Securities and Exchange Commission Ruling that “B” shares may be delivered to settle “A” transactions.

SELLING BROKER: _____
SECURITY CODE: _____ **SECURITY CODE:** _____
SOLD “A” (QTY): _____ **DELIVER “B” (QTY):** _____
TRADE DATE: _____ **SETTLEMENT DATE:** _____

- FOR FULL DELIVERY OF B SHARES**
- Buying Broker will receive credits of “B” shares in its securities account and need not do anything.
- FOR PARTIAL DELIVERY OF B SHARES**
- Selling Broker should uplift appropriate number of “B” shares and lodge the same quantity as “A” shares.
 - When trade has settled, Buying Broker should uplift appropriate number of “A” shares and lodge the same quantity as “B” shares.

NOTE: In the PDTC’s eCS system, please tick “Yes” in the “B for A Processing” field in both the Upliftment and Lodgment instructions.

BUYING BROKER/ CONTACT PERSON	FAX NO.	NUMBER OF SHARES	TRADE REFERENCE NUMBER

CERTIFIED CORRECT:

 Signature over the Printed Name

 Official Designation

SCCP will collect, record, store, use, disclose, and process your personal information consisting of name, signature, and contact number for the purpose of this “B” for “A”: Delivery Advice request and for purposes relevant or incidental thereto. Said personal information will be retained for a period of 10 years and afterwards it will be safely destroyed. You have the rights under the Data Privacy Act such as rights to be informed, access, correct, object, withdraw, erasure or block, data portability, file complaint, and damages. You should be aware that there are risks in the processing of your personal information and for safeguards to protect it, kindly read our Privacy Policy at www.sccp.com.ph or contact our Data Protection Officer at dataprivacy@sccp.com.ph to exercise your right or for any concern.